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**(54) PROCESS FOR PRODUCING HYDROPHOBIC AEROGEL.**

(57) A hydrophobic aerogel is produced by reacting a polymer composed of  $(\text{SiO}_2)_n$  skeleton and having silanol groups with a hydrophobicity imparting agent having a hydrophobic group and a functional group reactive with the silanol group to form a two-phase gel composed of a hydrophobic polymer and a dispersion medium, and supercritically drying the gel above the critical point of the medium. The obtained aerogel is useful as a material which has a high water resistance, is free from the deterioration of the performances, such as light transmittance or heat insulation, and dimensional changes, such as shrinkage, caused by water adsorption, can exhibit consistent characteristics for long, and is particularly excellent in light transmittance and heat insulation.

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FIG. 1

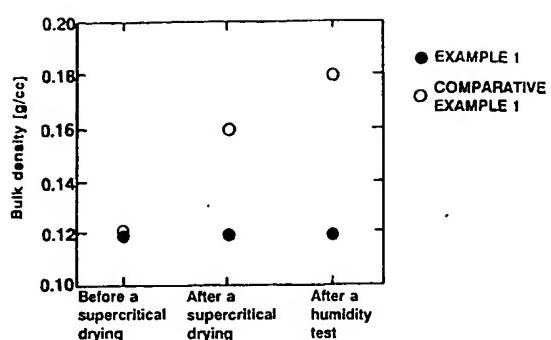
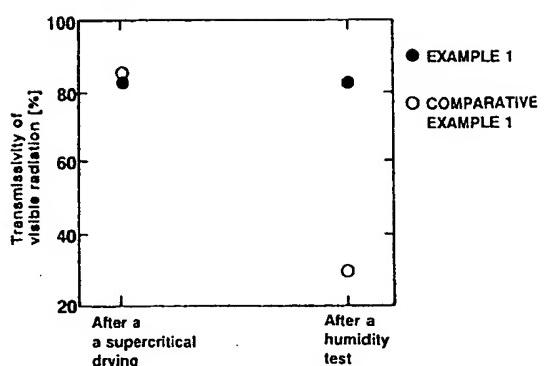


FIG. 2



## TECHNICAL FIELD

The present invention relates to a process of forming a hydrophobic aerogel with excellent transparency and high thermal resistance.

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## BACKGROUND ART

Silica aerogel is already known as a material having excellent transparency and low thermal conductivity. As described in U.S. Pat. No. 4,402,927, No. 4,432,956 and No. 4,610,863, the aerogel is obtained by the 10 step comprising, hydrolyzing and condensing an alkoxy silane in order to form a polymer having a skeleton structure of  $(\text{SiO}_2)_n$  and silanol groups, supercritically drying the polymer in the presence of a dispersing medium such as carbon dioxide at temperature and pressure above a critical point of the dispersing medium. The patents also describe that thus obtained aerogel is useful as a material having excellent 15 transparency and high thermal resistance. By the way, the skeleton structure of the aerogel includes the silanol groups by a certain rate. The silanol groups having high hydrophilicity which is formed by contact with moisture in the atmosphere increase at the surface of the aerogel. Therefore, the moisture adsorbing in the surface of the aerogel increases as the hours passed, so that optical and thermal properties of the aerogel such as transparency and thermal resistance will deteriorate, and also, a dimensional variation and cracks of the aerogel will result from shrinkage which is induced by the adsorption of the moisture. That is 20 to say, there are problems to be improved about a quality and performance of the aerogel.

## DISCLOSURE OF INVENTION

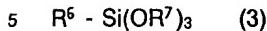
It is a primary object of the present invention to provide an improved process for forming a hydrophobic 25 aerogel of silica which has stable transparency and thermal resistance even if the hours passed. It is a further object of the present invention to provide a preferred process for forming the hydrophobic aerogel which may be particularly useful to attain the primary object in industrial field. The primary object is attained by utilizing a gel including a dispersing medium and a polymer [silicate compound] having a skeleton structure of  $(\text{SiO}_2)_n$  and silanol groups, and supercritically drying thereof. Concretely, it is attained 30 by utilizing a denatured polymer which is obtained by reacting the polymer having a skeleton structure  $(\text{SiO}_2)_n$  and silanol groups with a hydrophobic agent having a hydrophobic groups as well as a functional groups reactive to the silanol groups. That is to say, the improved process of the present invention comprises the steps of reacting the polymer having a skeleton structure  $(\text{SiO}_2)_n$  and silanol groups with a hydrophobic agent having a hydrophobic groups as well as a functional groups reactive to the silanol 35 groups to obtain a denatured polymer, dispersing the denatured polymer in the dispersing medium to form a resulting gel, and supercritically drying the resulting gel at temperature and pressure above a critical point of the dispersing medium. A detailed explanation of the present invention is described below. The polymer comprises the skeleton structure having at least  $(\text{SiO}_2)_n$  and the silanol groups, and also may partially include a silicate compound having a chemical bond expressed by the following formula;  $\text{Si}-\text{R}^1$  wherein n is 40 a positive number, and  $\text{R}^1$  is a  $\text{C}_0 - \text{C}_5$  alkyl group or a phenyl group. Silicon of the polymer adsorbs moisture in the atmosphere, so that it has tendency to stabilize as the silanol group. The polymer having the skeleton structure of  $(\text{SiO}_2)_n$  as described above is obtained by hydrolyzing and condensing an alkoxy silane which is expressed by the following formula;



wherein each of  $\text{R}^1$  and  $\text{R}^2$  stands for a  $\text{C}_0 - \text{C}_5$  alkyl group or phenyl group, n is 0-2,  $\text{R}^1$  may be the same or different groups of the groups when n = 2,  $\text{R}^2$  may be the same or different ones of the groups when n ≤ 2. When concretely illustrating the alkoxy silane, the followings are acceptable. That is, an alkoxy silane 50 having two functional groups is expressed by the following formula;



wherein each of R<sup>3</sup>, R<sup>4</sup> and R<sup>5</sup> stands for the C<sub>0</sub> - C<sub>5</sub> alkyl group or the phenyl group, two R<sup>5</sup> are selected as the same or different groups of the groups. And also, an alkoxy silane having three functional groups is expressed by the following formula;



wherein each of R<sup>6</sup> and R<sup>7</sup> stands for the C<sub>0</sub> - C<sub>5</sub> alkyl group or the phenyl group, three R<sup>7</sup> are selected from any combination of the groups. And further, an alkoxy silane having four functional groups is expressed by the following formula;



wherein R<sup>8</sup> stands for the C<sub>0</sub> - C<sub>5</sub> alkyl group or the phenyl group, four R<sup>8</sup> are selected from any combination of the groups. At least one selected from the group of the alkoxy silane expressed by the formula (3) or (4), or a mixture of the alkoxy silane expressed by the formula (2) and at least one selected form the group of the alkoxy silane expressed by the formula (3) or (4) are also acceptable for the present invention. That is to say, the alkoxy silane having two functional groups such as dimethyldimethoxysilane, dimethyldiethoxysilane, diphenyldiethoxysilane, diphenyldimethoxysilane, methylphenyldiethoxysilane, methylphenyldimethoxysilane, diethylidioethoxysilane, and diethylidimethoxysilane, etc., is acceptable for the present invention. The alkoxy silane having three functional groups such as methyltrimethoxysilane, methyltriethoxysilane, ethyltrimethoxysilane, ethyltriethoxysilane, phenyltrimethoxysilane, and phenyltriethoxysilane, etc., is acceptable for the present invention. The alkoxy silane having four functional groups such as tetramethoxysilane, and tetraethoxysilane, etc., is also acceptable for the present invention. An addition of a catalyst to a reaction system including the alkoxy silane described above is effective to hydrolyze the alkoxy silane in order to generate a silicon hydroxide, and then to condense the silicon hydroxide. The catalyst is selected from the group consisting of an acid catalyst such as hydrochloric acid, citric acid, nitric acid, sulfuric acid, and ammonium fluoride, etc., and a base catalyst such as ammonia and pyrazine, etc.. When the reaction system includes a mixed solution of water and a first solvent which is capable of dissolving the alkoxy silane and forming aqueous solution, the condensation of the silicon hydroxide can be performed continuously after the hydrolysis of the alkoxy silane has been completed. The first solvent is selected from the group consisting of methyl alcohol, ethyl alcohol, isopropyl alcohol, acetone and N, N-dimethylformamide, etc.. Thus obtained polymer is a gel including the first solvent as the dispersing medium. When a starting material is the polymer [gel] by hydrolyzing and condensing the alkoxy silane as described above, a reaction for generating a denatured polymer is efficiently performed by washing the obtained polymer with the first solvent to remove the water in the obtained polymer. The reaction for generating the denatured polymer is explained below. That is, the denatured polymer which was replaced hydroxyl groups in the silanol groups with the hydrophobic groups is obtained by reacting the polymer having a skeleton structure of (SiO<sub>2</sub>)<sub>n</sub> with the hydrophobic agent having the hydrophobic groups as well as the functional groups reactive to the silanol groups. Therefore, to replace the hydroxyl groups in the silanol groups with the hydrophobic groups, it prefers that the hydrophobic agent is added to the polymer by a sufficient rate relative to the silanol groups existing in the surface of the polymer, i.e., it prefers that a weight ratio of the polymer to the hydrophobic agent is 0.5 to 10. The functional group of the hydrophobic agent is selected from the group consisting of halogen, amino group, imino group, carboxyl group, and alkoxy group. On the other hand, the hydrophobic group of the hydrophobic agent is selected from the group consisting of alkyl group, phenyl group and fluoride thereof. Therefore, the hydrophobic agent is at least one selected from the group consisting of an organic silane compound such as hexamethyldisilazane, hexamethyldisiloxane, trimethylchlorosilane, trimethylmethoxysilane, trimethylmethoxysilane, triethylmethoxysilane, triethylmethoxysilane, dimethyldichlorosilane, dimethyldiethoxysilane, methyltrichlorosilane, and ethyltrichlorosilane, etc.. The hydrophobic agent is also selected from the group consisting of a carboxylic acid such as acetic acid, formic acid and succinic acid, etc., and a halogenated alkyl such as methylchloride, etc.. A hydrophobic treatment, which is a reaction of the polymer [gel] and the hydrophobic agent described above, is performed by soaking the obtained polymer in a solution of a hydrophobic agent in a second solvent, and mixing the obtained polymer with the solution so as to diffuse each other, and then heating thereof. The second solvent such as ethyl alcohol, methyl alcohol, toluene, benzene, and N, N-dimethylformamide, etc., is utilized in the hydrophobic treatment. It prefers that the second solvent has capable of easily dissolving the hydrophobic agent therein, and replacing with the first solvent in the obtained polymer. It also prefers that the second solvent is a third solvent which is utilized in the step of supercritical drying, or the second solvent is easily replaced with the third solvent. Thus obtained gel by the

above described steps essentially consists of the denatured polymer and the dispersing medium. The dispersing medium is defined by the first solvent used for generating the polymer, or the second solvent used in the hydrophobic treatment, or the third solvent utilized in the step of supercritical drying. In the specification of the present invention, "the dispersing medium" as used herein is meant to be a liquid component included in the gel, and "solvent" is used as an equally generic synonym. After the hydrophobic treatment, the gel is supercritically dried at temperature and pressure above a critical point of the third solvent in order to form the hydrophobic aerogel. The third solvent is at least one selected from the group consisting of methyl alcohol, ethyl alcohol, isopropyl alcohol, dichlorofluoromethane, liquefied carbon dioxide and water, etc.. The supercritical drying is performed in, for example, an autoclave by increasing temperature and pressure above the critical point of the third solvent, and then, slowly removing the third solvent from the gel, so as to give the hydrophobic aerogel. The hydrophobic aerogel of the present invention has a porous structure consisting of exceedingly fine particles of silica. As a particle size of the silica and a distance between the adjacent particles are much shorter than a wave length of light, the hydrophobic aerogel has excellent transparency of light irrespective of the porous structure with low thermal conductivity. And besides, as the hydroxyl groups in the silanol groups, which exists in the surface of the polymer having the skeleton structure of  $(\text{SiO}_2)_n$  before supercritically drying, is replaced with the hydrophobic groups of the hydrophobic agent, the hydrophobic aerogel of the present invention will not adsorb moisture in the atmosphere, so that it provides excellent transparency and thermal resistance even after using it for long time periods. The supercritical drying of the present invention is very useful to remove the dispersing medium from the gel so as to form the hydrophobic aerogel while maintaining the porous structure without occurring only a small structure change which is induced by hydrogen bond between the silanol groups. Particularly, as the dispersing medium is removed from the gel so as to maintain the porous structure, the hydrophobic aerogel having a desired bulk density or pore size is readily fabricated by the improved process of the present invention, so that it enhances an industrial value of the aerogel.

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#### BRIEF DESCRIPTION OF DRAWINGS

FIG. 1 is a diagram showing bulk densities of aerogel measured before and after supercritically drying and those of the aerogel after being subjected to a humidity test with respect to example 1 of the present invention in comparison with comparative example 1;  
 FIG. 2 is a diagram showing light transmissivities of the aerogel measured before and after supercritically drying and those of the aerogel after being subjected to the humidity test with respect to example 1 of the present invention in comparison with comparative example 1.

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#### BEST MODE FOR CARRYING OUT THE INVENTION

Examples 1-10 of the present invention and comparative examples 1-5 are described below. However, the present invention is not limited by the examples.

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#### EXAMPLE 1

A mixed solution of aqueous ammonia of 0.01 mol/l and ethyl alcohol was slowly added in tetramethoxysilane which is an alkoxy silane having four functional groups. A mixture ratio of tetramethoxysilane : ethyl alcohol : ammonia was 1 : 5 : 4 by the molar ratio. Thus obtained mixture was hydrolyzed at 20°C while agitating it for 1 hour, and subsequently, gelled in a vessel having a diameter of 51mm. Condensation of thus obtained gel was expedited by heating to a temperature of 50°C while adding ethyl alcohol repeatedly so as not to dry the gel, thereby forming a gelled polymer [alcogel] having a skeleton structure of  $(\text{SiO}_2)_n$ . The gelled polymer had a thickness of 5mm, a diameter of 51mm. For performing a hydrophobic treatment of the gelled polymer [alcogel], toluene solution was prepared by dissolving hexamethyldisilazane in toluene by a ratio of 0.2 mol/l, and the gelled polymer was put in the toluene solution which has five times volume compared with the polymer, and then, the hexamethyldisilazane was infiltrated into the polymer by spending 24 hours. Subsequently, thus obtained polymer was refluxed with stirring while being heated to a temperature of 110°C for about 2 hours, so that a denatured polymer which is also a hydrophobic polymer was obtained. And then, the denatured polymer [gel] was put in ethyl alcohol. A solvent included in the denatured polymer was replaced with the ethyl alcohol repeatedly by spending 24 hours, that is, non-reacted hexamethyldisilazane and toluene in the denatured polymer were replaced with the ethyl alcohol. And besides, the alcogel was put in liquefied carbon dioxide at a temperature of 18°C under a pressure of 55 atm for 3 hours in order to replace the ethyl alcohol included in the alcogel with the carbon dioxide, and

subsequently was placed in an autoclave. The autoclave was filled with a dispersing medium consisting of a mixture of ethyl alcohol and carbon dioxide. A supercritical drying was performed in the autoclave for about 48 hours under a supercritical condition such as a temperature of 80 °C and a pressure of 160 atm., so that an aerogel which has an extremely fine porous structure and excellent transparency and low bulk density  
5 was formed.

## EXAMPLE 2

Example 2 was identical in process for forming the aerogel to the example 1 except that trimethylchlorosilane as a hydrophobic agent was utilized in stead of hexamethyldisilazane.  
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## EXAMPLE 3

The denatured polymer [gel] obtained by the same process of the example 1 was put in ethyl alcohol.  
15 And then, the solvent included in the denatured polymer was replaced with the ethyl alcohol repeatedly by spending 24 hours, that is, the non-reacted hexamethyldisilazane and toluene in the denatured polymer were replaced with the ethyl alcohol. Thus obtained gel [alcogel] was placed in the autoclave. And then, the autoclave was filled with ethyl alcohol as the dispersing medium. Subsequently, the supercritical drying was performed in the autoclave for about 6 hours under a supercritical condition such as a temperature of  
20 250 °C and a pressure of 80 atm., so that an aerogel was formed.

## EXAMPLE 4

The mixed solution of aqueous ammonia of 0.01 mol/l and ethyl alcohol was slowly added in tetraethoxysilane. A mixture ratio of tetraethoxysilane : ethyl alcohol : water was 1 : 5.5 : 5 by the molar ratio. Thus obtained mixture was hydrolyzed at 20 °C while agitating for 1 hour, and subsequently, gelled in the same vessel of the example 1. The condensation of thus obtained gel was expedited by heating to a temperature of 50 °C while adding ethyl alcohol repeatedly so as not to dry the gel, thereby forming a gelled polymer [alcogel] having a skeleton structure of  $(SiO_2)_n$ . Subsequently, the same process of the  
25 example 1 was given to thus obtained polymer [alcogel] in order to form an aerogel.  
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## EXAMPLE 5

A mixed solution of aqueous solution of ammonium fluoride of 0.04 mol/l and ethyl alcohol was slowly added in tetraethoxysilane. A mixture ratio of tetraethoxysilane : ethyl alcohol : water was 1 : 5.5 : 5 by the molar ratio. Thus obtained mixture was hydrolyzed at 20 °C while agitating for 1 hour, and subsequently, gelled in the same vessel of the example 1. The condensation of thus obtained gel was expedited by heating to a temperature of 50 °C while adding ethyl alcohol repeatedly so as not to dry the gel, thereby forming a gelled polymer [alcogel] having a skeleton structure of  $(SiO_2)_n$ . Subsequently, the same process  
35 of the example 1 was given to thus obtained polymer [alcogel] in order to form an aerogel.  
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## EXAMPLE 6

The denatured polymer [gel] formed by the same process of the example 1 and performed the hydrophobic treatment was put in ethyl alcohol. And then, the solvent included in the denatured polymer was replaced with the ethyl alcohol repeatedly by spending 24 hours, that is, the non-reacted hexamethyl-disilazane and toluene in the denatured polymer were replaced with the ethyl alcohol, thereby forming alcogel. And besides, the alcogel was put in carbon dioxide at a temperature of 18 °C under a pressure of 55 atm, so that the ethyl alcohol in the alcogel was replaced with the carbon dioxide. Thus obtained alcogel  
45 was placed in the autoclave. And then, the autoclave was filled with carbon dioxide. Subsequently, the supercritical drying was performed in the autoclave for about 24 hours under a supercritical condition such as a temperature of 40 °C and a pressure of 80 atm., so that an aerogel was formed.  
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## EXAMPLE 7

55 The mixed solution of aqueous ammonia of 0.01 mol/l and ethyl alcohol was slowly added in a mixture of dimethyldimethoxysilane, which is an alkoxy silane having two functional group, and tetramethoxysilane having four functional group. A mixture ratio of dimethyldimethoxysilane : tetramethoxysilane was 1 : 9 by

the molar ratio. On the other hand, a mixture ratio of tetramethoxysilane : ethyl alcohol : water was 1 : 5 : 4 by the molar ratio. Thus obtained mixture was hydrolyzed at 20°C while agitating for 1 hour, and subsequently, gelled in the same vessel of the example 1. The condensation of thus obtained gel was expedited by heating to a temperature of 50°C while adding ethyl alcohol repeatedly so as not to dry the 5 gel, thereby forming a gelled polymer [alcogel] having a skeleton structure of  $(\text{SiO}_2)_n$ . Subsequently, the same process of the example 1 was given to thus obtained polymer [alcogel] in order to form an aerogel.

## EXAMPLE 8

10 The mixed solution of aqueous ammonia of 0.01 mol/l and ethyl alcohol was slowly added in a mixture of methyltrimethoxysilane, which is an alkoxy silane having three functional group, and tetramethoxysilane having four functional group. A mixture ratio of methyltrimethoxysilane : tetramethoxysilane is 5 : 5 by the molar ratio. On the other hand, a mixture ratio of tetramethoxysilane : ethyl alcohol : water was 1 : 5 : 4 by the molar ratio. Thus obtained mixture was hydrolyzed at 20°C while agitating for 1 hour, and subsequently, gelled in the same vessel of the example 1. The condensation of thus obtained gel was expedited by heating to a temperature of 50°C while adding ethyl alcohol repeatedly so as not to dry the gel, thereby forming a gelled polymer [alcogel] having a skeleton structure of  $(\text{SiO}_2)_n$ . Subsequently, the same process of 15 the example 1 was given to thus obtained polymer [alcogel] in order to form an aerogel.

## EXAMPLE 9

The gelled polymer having the skeleton structure of  $(\text{SiO}_2)_n$ , which was formed by the same process of the example 1, was put in a toluene solution including acetic anhydride as the hydrophobic agent and pyrazine of 10cc as a catalyst. The acetic anhydride was infiltrated into the polymer by spending 24 hours. 25 Subsequently, thus obtained polymer was refluxed with stirring while being heated to a temperature of 110°C for about 2 hours, so that a denatured polymer [gel] which is also a hydrophobic polymer in a humid state was obtained. Subsequently, the same process of the example 1 was given to the denatured polymer [gel] in order to form an aerogel.

## EXAMPLE 10

The gelled polymer having the skeleton structure of  $(\text{SiO}_2)_n$  was formed by the same process of the example 1. The gelled polymer was put in ethyl alcohol solution of hexamethyldisilazane in ethyl alcohol by a ratio of 0.2 mol/l, which has five times volume compared with the polymer. And then, the hexamethyl-disilazane was infiltrated into the polymer by spending 24 hours. Subsequently, thus obtained polymer was refluxed with stirring while being heated to a temperature of 70°C for about 2 hours, so that a denatured polymer [alcogel] which is also a hydrophobic polymer in a humid state was obtained. Subsequently, the same process of the example 1 was given to the denatured polymer [alcogel] in order to form an aerogel.

## COMPARATIVE EXAMPLE 1

The gelled polymer having the skeleton structure of  $(\text{SiO}_2)_n$ , which was formed by the same process of the example 1, was put in ethyl alcohol without reacting with the hydrophobic agent. And then, a solvent included in the gelled polymer was replaced with the ethyl alcohol repeatedly by spending 24 hours. Thus 45 obtained alcogel was put in carbon dioxide at a temperature of 18°C under a pressure of 55 atm for 3 hours so as to replace the ethyl alcohol included in the alcogel with the carbon dioxide. Subsequently, thus obtained polymer was placed in the autoclave. And then, the autoclave was filled with the dispersing medium consisting of the mixture of ethyl alcohol and carbon dioxide. The supercritical drying is performed in the autoclave for about 48 hours under the supercritical condition such as a temperature of 80°C and a 50 pressure of 160 atm, so that thus obtained aerogel has an extremely fine porous structure and excellent transparency and low bulk density but having small size compared with the aerogel of the example 1.

## COMPARATIVE EXAMPLE 2

55 The gelled polymer having the skeleton structure of  $(\text{SiO}_2)_n$ , which was formed by the same process of the example 3, was put in ethyl alcohol without reacting with the hydrophobic agent. And then, a solvent included in the gelled polymer was replaced with the ethyl alcohol repeatedly by spending 24 hours. Subsequently, thus obtained polymer was placed in the autoclave. The autoclave was filled with ethyl

alcohol as the dispersing medium. And then, the supercritical drying is performed in the autoclave for about 6 hours under the supercritical condition such as a temperature of 250°C and a pressure of 80 atm, so that an aerogel was obtained.

#### 5 COMPARATIVE EXAMPLE 3

The gelled polymer having the skeleton structure of  $(\text{SiO}_2)_n$ , which was formed by the same process of the example 4, was put in ethyl alcohol without reacting with the hydrophobic agent. And then, a solvent included in the gelled polymer was replaced with the ethyl alcohol repeatedly by spending 24 hours.

10 Subsequently, the same supercritical drying of the example 4 is performed in order to form an aerogel.

#### COMPARATIVE EXAMPLE 4

The gelled polymer having the skeleton structure of  $(\text{SiO}_2)_n$ , which was formed by the same process of

15 the example 1, was dried in the atmosphere for one week at a temperature of 20°C under an atmospheric pressure without reacting with the hydrophobic agent, so that a xerogel having coarse porous structure was formed.

#### COMPARATIVE EXAMPLE 5

20 The denatured polymer, which was formed by the same process of the example 1 and performed the hydrophobic treatment, was dried in the atmosphere for one week at a temperature of 20°C under an atmospheric pressure, so that a xerogel having coarse porous structure was formed.

TABLE 2 shows bulk densities, thermal conductivities, light transmissivities and specific surfaces of the

25 aerogel and the xerogel measured immediately after a supercritical drying and after a humidity test with respect to the examples 1-9 and the comparative examples 1-5. TABLE 1 also shows starting materials, catalysts, hydrophobic agents, dispersing mediums and conditions for supercritical drying, respectively, utilized in the examples 1-10 and the comparative examples 1-5. The specific surfaces were measured by the nitrogen-absorption BET method, while thermal conductivities were measured in accordance with ASTM  
 30 C-518 by the use of a thermal conductivity measuring device in a steady-state process at temperatures of 20°C and 40°C. The light transmissivities were determined by a method based on JIS-R3106 which comprises the step of measuring spectral distribution of visible radiation. The humidity test was performed for 48 hours at a temperature of 60°C in a isothermal humidistat held at a relative humidity of 90%. As shown in TABLE 2, the aerogel of the examples 1-10 have lower bulk densities than the xerogel of the  
 35 comparative examples 1-5. It is considered that the gel with the hydrophobic treatment did not occurred a shrinkage when supercritically drying the gel, on the other hand, the gel without the hydrophobic treatment [COMPARATIVE EXAMPLE 1-3] occurred a small shrinkage when supercritically drying, and also, a large shrinkage was occurred by usual drying the gel irrespective of the presence of the hydrophobic treatment.  
 FIG.1 and 2 are diagrams showing bulk densities and light transmissivities of the aerogel, respectively,  
 40 which were measured before and after supercritically drying and also after the humidity test, with respect to the example 1 of the present invention and the comparative example 1. The bulk densities of the gel before supercritically drying was determined by dividing weight of solid phase of the gel by total volume of the gel. As shown in FIG. 1 and 2, the aerogel formed by supercritically drying the gel with the hydrophobic treatment maintains excellent performance for longer time periods compared with the aerogel without the  
 45 hydrophobic treatment, that is, it is confirmed that the hydrophobic aerogel of the present invention has excellent water proof so as not to deteriorate the performance even if the aerogel contacts to water.

#### INDUSTRIAL APPLICABILITY

50 A hydrophobic aerogel fabricated in accordance with the present invention has improved characteristics free from deterioration in light transmissivity as well as lowered thermal resistance which would otherwise occur by contact with moisture over an extended time periods. The aerogel is particularly useful for a heat insulator and an acoustic material and is provided with a variety of configuration such as plate, powder, etc. And besides, the aerogel is possible to utilize in Cerenkov counter.

Table 1

	Starting material Alkoxy silane	Catalyst	Hydrophobic agent	dispersing medium	Condition of a supercritical drying
Example 1	tetramethoxysilane	ammonia	hexamethyl- disilazane	ethanol/CO <sub>2</sub>	80°C, 160 atm, 48 hours
Example 2	tetramethoxysilane	ammonia	trimethyl- chlorosilane	ethanol/CO <sub>2</sub>	80°C, 160 atm, 48 hours
Example 3	tetramethoxysilane	ammonia	hexamethyl- disilazane	ethanol	250°C, 80 atm, 24 hours
Example 4	tetraethoxysilane	ammonia	hexamethyl- disilazane	ethanol/CO <sub>2</sub>	80°C, 160 atm, 48 hours
Example 5	tetraethoxysilane	ammonium fluoride	hexamethyl- disilazane	ethanol/CO <sub>2</sub>	80°C, 160 atm, 48 hours
Example 6	tetramethoxysilane	ammonia	hexamethyl- disilazane	CO <sub>2</sub>	40°C, 80 atm, 24 hours
Example 7	dimethylidimethoxysilane,1/10 tetramethoxysilane,9/10	ammonia	hexamethyl- disilazane	ethanol/CO <sub>2</sub>	80°C, 160 atm, 48 hours
Example 8	methyltrimethoxysilane,5/10 tetramethoxysilane,5/10	ammonia	hexamethyl- disilazane	ethanol/CO <sub>2</sub>	80°C, 160 atm, 48 hours
Example 9	tetramethoxysilane	ammonia	acetic anhydride	ethanol/CO <sub>2</sub>	80°C, 160 atm, 48 hours
Example 10	tetramethoxysilane	ammonia	hexamethyl- disilazane *1	ethanol/CO <sub>2</sub>	80°C, 160 atm, 48 hours

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Comparative Example 1	tetramethoxysilane	ammonia	---	ethanol/CO <sub>2</sub>	80°C, 160 atm, 48 hours
Comparative Example 2	tetramethoxysilane	ammonia	---	ethanol	250°C, 80 atm, 24 hours
Comparative Example 3	tetramethoxysilane	ammonia	---	ethanol/CO <sub>2</sub>	80°C, 160 atm, 48 hours
Comparative Example 4	tetramethoxysilane	ammonia	---	ethanol	20°C, 1 atm, 1 week
Comparative Example 5	tetramethoxysilane	ammonia	hexamethyl-disilazane	ethanol	20°C, 1 atm, 1 week

\*1 : Treatment solvent is ethanol.

Table 2

	Specific surface : (m <sup>2</sup> /g)	Bulk density : (g/cm <sup>3</sup> )	Thermal conductivity : (Kcal/mh°C)	Transmissivity of visible radiation : (%)
	After a super-critical drying	After a super-critical humidity test	After a super-critical drying	After a super-critical humidity test
Example 1	821	0.12	0.012	83.5
Example 2	813	0.12	0.011	83.9
Example 3	781	0.13	0.014	79.2
Example 4	850	0.11	0.014	81.5
Example 5	800	0.12	0.012	78.5
Example 6	806	0.12	0.013	84.9
Example 7	758	0.13	0.014	77.1
Example 8	720	0.12	0.013	73.5
Example 9	821	0.11	0.012	78.9
Example 10	809	0.12	0.012	81.5
Comparative Example 1	802	0.16	0.014	86.3
Comparative Example 2	855	0.15	0.017	84.5
				35.0

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Comparative Example 3	840	0.16	0.19	0.014	0.070	81.3	32.4
Comparative Example 4	520	0.60	0.69	0.15	0.19	88.5	62.3
Comparative Example 5	489	0.65	0.65	0.16	0.16	86.9	85.5

## 55 Claims

1. A process of forming a hydrophobic aerogel comprising the steps of:  
reacting a polymer having a skeleton structure of  $(\text{SiO}_2)_n$  with a hydrophobic agent having

hydrophobic groups as well as functional groups reactive to silanol groups to obtain a denatured polymer;

dispersing said denatured polymer in a dispersing medium to form a resulting gel; and

supercritically drying said resulting gel at temperature and pressure above a critical point of said dispersing medium.

- 5 2. A process as set forth in claim 1, wherein said polymer has said silanol groups reacting with the functional groups of said hydrophobic agent.
- 10 3. A process as set forth in claim 1, wherein said functional group is selected from the group consisting of halogen, amino group, imino group, carboxyl group, and alkoxy group.
- 15 4. A process as set forth in claim 3, wherein said hydrophobic group is selected from the group consisting of alkyl group and phenyl group.
5. A process as set forth in claim 1, wherein said hydrophobic agent is a silane compound.
- 20 6. A process as set forth in claim 1, said polymer is provided by hydrolyzing and condensing an alkoxy silane which is expressed by the following formula;



wherein each of R<sup>1</sup> and R<sup>2</sup> stands for a C<sub>0</sub> - C<sub>5</sub> alkyl group or a phenyl group, n is 0-2, R<sup>1</sup> may be the same or different groups of said groups when n=2, R<sup>2</sup> may be the same or different ones of said groups when n ≤ 2.

7. A process as set forth in claim 6, wherein said hydrolyzing and condensing is effected by the use of an aqueous solution of a solvent capable of being compatible with water and dissolving said alkoxy silane.
- 30 8. A process as set forth in claim 7, wherein said solvent is selected from the group consisting of methyl alcohol, ethyl alcohol, isopropyl alcohol, acetone and N,N-dimethylformamide.
9. A process as set forth in claim 7, wherein the solvent of claim 7 is used as said dispersing medium.
- 35 10. A process as set forth in claim 1, wherein said dispersing medium is selected to be a compound having a critical point less than that of said solvent as utilized in claim 7 for preparation of said denatured polymer and to replace said solvent.
11. A process as set forth in claim 10, wherein said compound solvent with the low critical point is liquefied carbon dioxide.
- 40 12. A process as set forth in claim 7, wherein said hydrophobic agent is at least one silane compound selected from the group consisting of hexamethyldisilazane, hexamethyldisiloxane, trimethylchlorosilane, trimethylmethoxysilane, trimethylethoxysilane, triethylethoxysilane, triethylmethoxysilane, dimethylchlorosilane, dimethyldiethoxysilane, methyltrichlorosilane and ethyltrichlorosilane.
- 45 13. A process as set forth in claim 1, wherein said hydrophobic agent is selected from the group consisting of a carboxylic acid such as acetic acid, formic acid and succinic acid, and a halogenated alkyl such as methylchloride.
- 50 14. A process of forming a hydrophobic aerogel comprising the steps of:  
hydrolyzing and condensing, in the presence of water, an alcohol, and an alkoxy silane which is expressed by the following formula;



wherein each of R<sup>1</sup> and R<sup>2</sup> stands for a C<sub>0</sub> - C<sub>5</sub> alkyl group or phenyl group, n is 0-2, [R<sup>1</sup> may be the same or different groups of said groups when n=2, R<sup>2</sup> may be the same or different ones of said

groups when  $n \leq 2$ ], to obtain an alcogel having a skeleton structure of  $(\text{SiO}_2)_n$  and silanol groups;

5 performing a hydrophobic treatment to said alcogel with a solution of a hydrophobic agent in a first solvent having higher solubility to said hydrophobic agent than said alcohol in order to form a hydrophobic alcogel, said hydrophobic agent having hydrophobic groups as well as functional groups reactive to said silanol groups;

replacing said first solvent included in the hydrophobic alcogel with a first alcohol;

replacing said first alcohol at least partially with carbon dioxide which has a lower critical point than said first alcohol; and

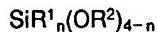
10 supercritically drying the resulting alcogel at temperature and pressure above a critical point of a mixture of said first alcohol and said carbon dioxide or said carbon dioxide.

15. A process as set forth in claim 14, wherein said first solvent has a higher boiling point than an alcohol.

16. A process as set forth in claim 15, wherein said first solvent is a toluene.

17. A process of forming a hydrophobic aerogel comprising the steps of

hydrolyzing and condensing, in the presence of water, an alcohol, and an alkoxy silane which is expressed by the following formula;



wherein each of  $R^1$  and  $R^2$  stands for a  $C_0 - C_5$  alkyl group or phenyl group,  $n$  is 0-2, [ $R^1$  may be the same or different groups of said groups when  $n=2$ ,  $R^2$  may be the same or different ones of said groups when  $n \leq 2$ ], to obtain an alcogel having a skeleton structure of  $(\text{SiO}_2)_n$  and a silanol groups;

25 performing a hydrophobic treatment to said alcogel with a solution of a hydrophobic agent in a first solvent having higher solubility to said hydrophobic agent than said alcohol in order to form a hydrophobic alcogel, said hydrophobic agent having hydrophobic groups as well as functional groups reactive to said silanol groups;

replacing said first solvent included in the hydrophobic alcogel with a first alcohol;

30 supercritically drying the resulting alcogel at temperature and pressure above a critical point of said first alcohol.

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FIG. 1

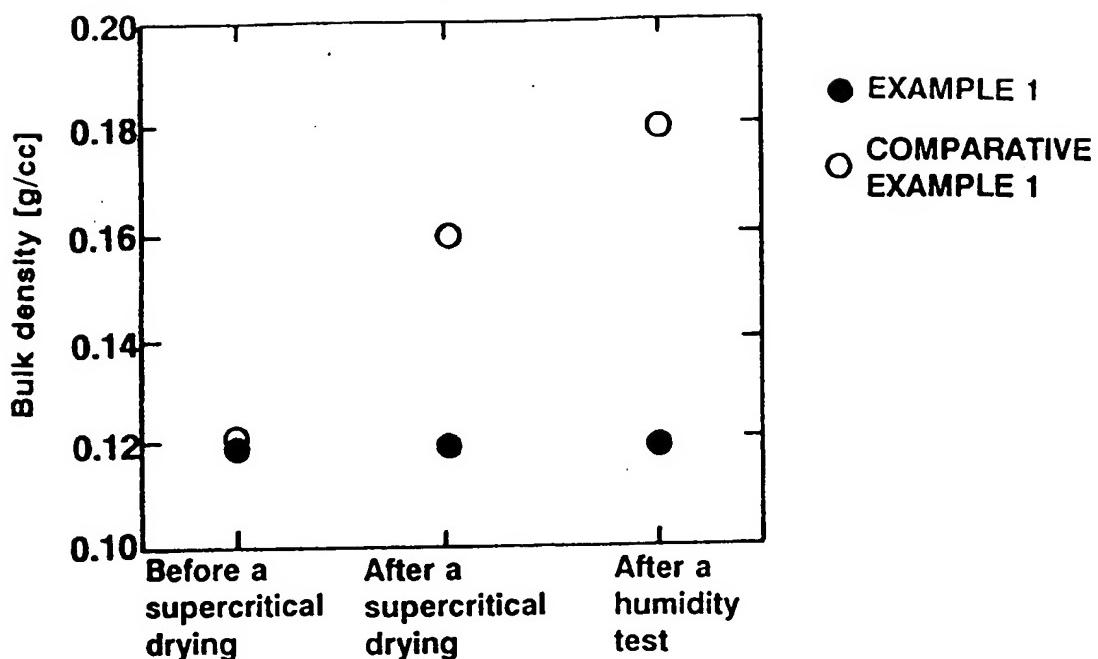
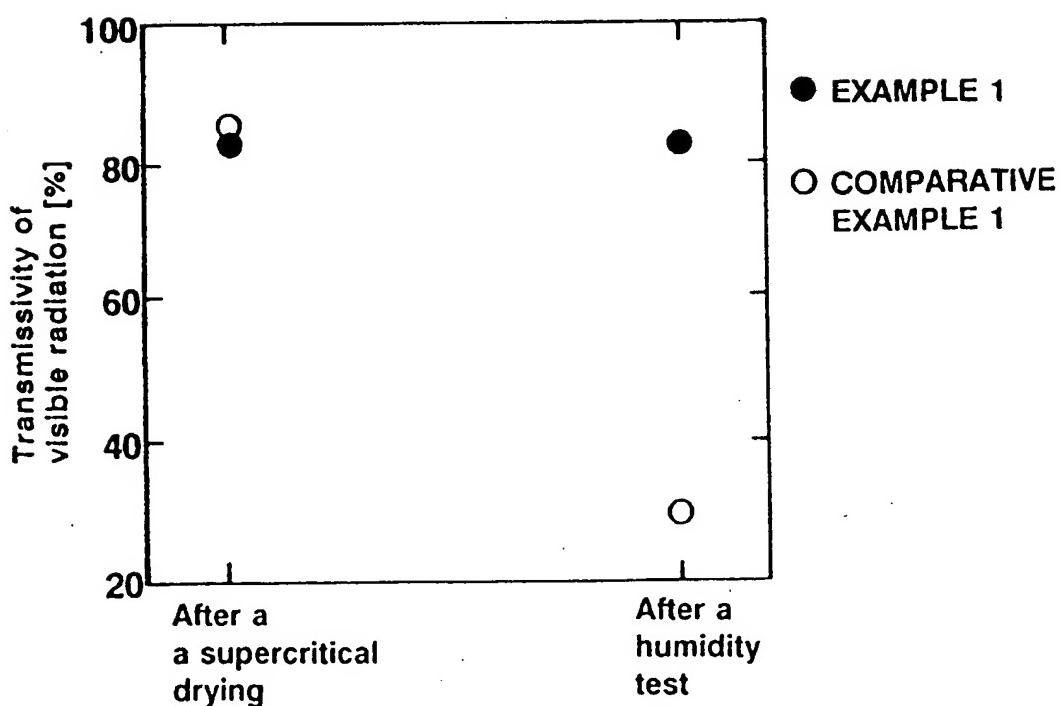


FIG. 2



# INTERNATIONAL SEARCH REPORT

International Application No PCT/JP92/00156

## I. CLASSIFICATION OF SUBJECT MATTER (If several classification symbols apply, indicate all) <sup>6</sup>

According to International Patent Classification (IPC) or to both National Classification and IPC:

Int. Cl<sup>5</sup> C08J3/00, C01B33/158

## II. FIELDS SEARCHED

Minimum Documentation Searched <sup>7</sup>

Classification System	Classification Symbols
IPC	C08J3/00, C01B33/158

Documentation Searched other than Minimum Documentation  
to the Extent that such Documents are Included in the Fields Searched <sup>8</sup>

## III. DOCUMENTS CONSIDERED TO BE RELEVANT <sup>9</sup>

Category <sup>10</sup>	Citation of Document, <sup>11</sup> with indication, where appropriate, of the relevant passages <sup>12</sup>	Relevant to Claim No. <sup>13</sup>
A	JP, A, 57-209817 (Jerzy W. Zarzycki), December 23, 1982 (23. 12. 82), & US, A, 4432956 & EP, B1, 67741	1-17
A	JP, A, 63-40716 (W. R. Grace & Co.), February 22, 1988 (22. 02. 88), (Family: none)	1-17

\* Special categories of cited documents: <sup>10</sup>

- "A" document defining the general state of the art which is not considered to be of particular relevance
- "E" earlier document but published on or after the international filing date
- "L" document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified)
- "O" document referring to an oral disclosure, use, exhibition or other means
- "P" document published prior to the international filing date but later than the priority date claimed

- "T" later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention
- "X" document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step
- "Y" document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art
- "g" document member of the same patent family

## IV. CERTIFICATION

Date of the Actual Completion of the International Search

May 7, 1992 (07. 05. 92)

Date of Mailing of this International Search Report

May 26, 1992 (26. 05. 92)

International Searching Authority

Japanese Patent Office

Signature of Authorized Officer